MECHANISM OF SUBTHRESHOLD DEFECT FORMATION IN ELECTRON-THERMAL PROCESSES

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(1)

Elsewhere [1] we showed that the interaction of a relativistic electron beam with a powder mixture of ferrite-forming oxides is characterized by the formation of up to 10^{20} radiation defects per second and considerable cation ranges as compared to elementary jumps, thus ensuring that processes of diffusion sintering of powder moldings and solid-phase reactions are accelerated (by two or three orders of magnitude) [2, 3].

Analysis of the experimental data [1-3] and mechanisms of radiation-stimulated diffusion (RSD) [4, 5] including the mechanisms of radiation-stimulated defect formation permits the conclusion that under bombardment with electrons having an energy of 0.3-15 MeV the experimentally observed effects can be attributed to RSD from radiation-generated defects (mechanism of additional defects), which arises as a result of subthreshold mechanisms.

In this paper we estimate the realization of a shock-type subthreshold mechanism by means of an ionization mechanism of defect formation, which ensures accelerated solid-phase reactions and sintering of oxide powder systems under the action of a relativistic electron beam.

One of the main mechanisms of interaction of fast electrons with a material consists in the ionization of atoms of the material [6], with the attendant formation of secondary electrons with energy of up to E/2. There is also a certain probability [7-9] that the inner electron shells of the atom (K, L, M,...) will be ionized. The ionization cross section of, e.g., the K shell varies from 10^{-20} to 10^{-24} cm² for different atoms.

Ionization of the K and L shells causes the Auger effect to occur [10] in the channels K-LL, L-MM, $L_I-L_{III,IV,VM}$, etc., resulting in the formation of a multiply charged ion; a "horizonal" cascade of Auger ionization is also possible. The rearrangement of an electron shell takes place in a time of the order of the Auger transition $(10^{-15}-10^{-14} \text{ sec})$. Characteristically, in the case of metal oxides ions of the metals form: Mn, Fe, Ni, Cu, and Zn form with an average charge of +7, Mg with +3, Ba with +9, and oxygen with +2 [10].

Thus, $10^{-15} - 10^{-14}$ sec after ionization of the inner shell of the metal atom the atom is the center of the minimum of two ions with like charges (metal ion and oxygen ion), between which Coulomb repulsive forces arise,

$$F_{\mathbf{C}} = (1/4\pi\varepsilon_0\varepsilon) \left(Q_1 Q_2 / r_a^2 \right),$$

where ε_0 is the electric constant; ε is the relative dielectric constant; $Q_1 = 2e$ is the charge of the oxygen ion; Q_2 is the charge of the metal ion; and r_a is the distance between the oxygen and metal ions. Such a Coulomb interaction with allowance for the time and energy parameters is characterized as a microscopic electrostatic explosion, leading to the formation of defects of various types.

We note that, providing the energy conditions allow, a high-multiplicity ion can cause Auger ("horizontal") ionization of several neighboring atoms in succession, resulting in the formation of an entire complex of ions of like charge in which the Coulomb interaction is stronger.

The probability of a microscopic electrostatic explosion with the formation of defects of various types is found from the expression [4, 11] characterizing the cross of subthreshold defect formation. For ionization of the K shell of the atom we have

$$\sigma_{subthr} = \sigma_{\kappa} \alpha_{Auger} n.$$

Here σ_K is the cross section for the ionization of the K shell of the atom: α_{Auger} is the relative probability of an Auger cascade; and η is the probability factor, taking into account the finite lifetime of a localized multiple charge. The probability of multiple

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ionization of an atom α_{Auger} for K shells of light atoms and L shells for intermediate and heavy atoms is close to one [12]. The quantity η is determined by the ratio between the lifetime τ_{K} of a multiple positive charge and the time τ_{d} necessary for the ions to acquire a kinetic energy equal to or greater than the energy of displacement of an atom in an interstice ($\geq E_{d}$).

The lifetime of a multiple positive charge depends mainly on the time of charge neutralization by free conduction electrons. According to the estimates of [4, 13], $\tau_{\rm K} \approx 5.5 \cdot 10^{-14}$ sec at T = 293 K; the charge lifetime decreases slightly with rising temperature [4] since $\tau_{\rm K} \sim (1/T)^{1/4}$, and is estimated to be $\tau_{\rm K} \approx 3.6 \cdot 10^{-14}$ sec at T = 1600 K.

The Coulomb interaction time τ_d , during which ions acquire an energy greater than E_d , is found from $\tau_d \ge \sqrt{2ME_d}/F_{|K}$ (M is the atomic mass). In this case $\eta = 1$ if $\tau_d \le \eta_{K}$.

For the oxide systems under consideration $\tau_d \approx (3-28) \cdot 10^{-15}$ sec, i.e., is smaller than τ_K , and Eq. (1) thus takes on the form $\sigma_{subthr} \approx \sigma_K$.

The microscopic electrostatic explosion produces clusters of radius r_{exp} , inside of which a high vacancy concentration (up to 20-30%) arises [14] and, hence, the peripheral region of the explosion contains an equivalent number of interstitial atoms.

The cluster size can be estimated on the assumption that the explosion energy released in a microscopic volume melts a region of radius r_{exp} , which is determined from [15]

$$(4/3) \pi r_{exp}^3 N_0 = E/3kT_{m}$$

where N_0 is the number of atoms per unit volume; E is the explosion energy; T_m is the melting point; and k is Boltzmann's constant.

The number of defects (vacancies) formed in the cluster is estimated [14] in terms of the parameter

$$\delta = 0.2 \, (4/3) \, \pi r_{\rm exp}^3 \, N_0. \tag{2}$$

The zone of the cluster formed may encompass several hundred unit cells of the lattice structure of metal oxides. Table 1 shows the results of calculations of the kinetic energy E_k that ions acquire in a time $\tau_i = 3 \cdot 10^{-14}$ sec as a result of the electrostatic explosion, the cluster radius, and the number of explosion-generated defects, as well as the characteristic of the lattice structure of the metal oxides considered. The complete picture of the subthreshold mechanism of defect formation is determined by the probability of this mechanism arising and the flux of electrons capable of initiating the mechanism.

The total number of clusters formed when oxides of metals are irradiated with an electron beam is found from

 $N_{c1} = \sigma_K N_0 \Phi t.$

Here t is the irradiation time; and Φ is the electron flux density: $\Phi = Jn_{\text{sec.K}}^{e}$; J is the density of the primary electron flux; $n_{\text{sec.K}}^{e}$ is the number of secondary electrons capable of ionizing the K shell of atoms. The total number of defects generated per unit time has the form $N_{\text{tot}}/t = \sigma_K N_0 \Phi \delta$.

Table 2 shows the values of the binding energy I_K of K electrons for some metal atoms [16], σ_K , $n_{\text{sec.K}}^e$, which arise as a result of ionization of the respective metal oxides by a primary electron, and the total number of defects formed per unit time in a unit volume under irradiation with an 8-MeV electron beam with a flux density of 50 μ A/cm². As we see from Table 2, the theoretical estimate of the number of vacancies formed in iron oxide as a result of the subthreshold mechanism of defect formation is in good agreement with experimental results, according to which the number of vacancies is estimated at 10^{20} cm⁻³ sec⁻¹ [1].

We note that the equilibrium number of thermal defects in the metal oxides studied, apart from nickel oxide, is $10^{14}-10^{17}$ cm⁻³ [17, 18] at 1600 K, which is more than three orders of magnitude smaller than that of the number of radiation-induced defects. For nickel oxide at 1600 K the equilibrium number of thermal defects reaches a value of the order of 10^{20} cm⁻³, which is comparable to radiation effects in the initial period of irradiation. The experimental and theoretical estimates [17] of the diffusion coefficients for radiation defects are almost two orders of magnitude higher than the thermal diffusion coefficients for nickel and more than four to five orders of magnitude higher for other metals, i.e., the equilibrium concentration of thermal defects plays an insignificant role in processes of electron-thermal synthesis. Moreover, the experimental data [1] indicate the existence of zones containing up to

TABLE 1

0xide	Ions	E _k , eV (r _{exp} , 10 ⁻¹⁰	δ	Character- istic of lattice structure	Lattice constant a, 10 ⁻¹⁰ m	No. of molecules per primitive cell
MgO	O ²⁺ Mg ²⁺	46,6 30,9	7,5	19	Cubic	4,218	4
MnO	O ²⁺ Mn ⁷⁺	454 134	18	223	»	4,42	4
$\rm Fe_2O_3$	${ m O^{2+}}$ Fe ⁷⁺	$204 \\ 56,2$	16	124	Trigo nal	5,43	2
NiO	O ²⁺ Ni ⁷⁺	562 154	17	251	Cubic	4,195	4
ZnO	O^{2+} Zn^{7+}	1589 393	27	681	Hexagona1	$3,25 \ (c = 5,20)$	2
BaO	O ²⁺ Ba ⁹⁺	$\begin{array}{c} 244\\ 28,5 \end{array}$	17	96	Trigonal	5,54	4
		1	1	1	1	1	1

TABLE 2

Atoms of metals	I_K , keV	σ_K , cm ²	^{ne} sec, K	N _{tot} /t
Mg	1,305	2.10-20	214,5	1,39.1020
Mn	0,539	$8 \cdot 10^{-22}$	58,14	$1,5 \cdot 10^{20}$
Fe	7,112	$6, 8 \cdot 10^{-22}$	53,2	$0,56 \cdot 10^{20}$
Ni	8,332	$4,94 \cdot 10^{-22}$	66,0	$1,5 \cdot 10^{20}$
Zn	9,659	3,68.10-22	42,64	1,4.1020
Ва	37,441	$2,47 \cdot 10^{-23}$	9,61	1,6.1017

30-40% of the iron oxide phase with a deficiency of iron cations, i.e., electron-thermal processes in powdered metal oxides form zones (clusters) deficient in metal cations, which suggests the existence of mechanisms that displace mass over considerable distances.

The most probable mechanism, from the standpoint of both the energy [19, 20] and the structure of the metal oxides, is the formation of dynamic crowdions as a result of an electrostatic explosion with metal cations being displaced by channeling in rows of close packing of oxygen ions. For metal cations this mechanism of channeling displacements is facilitated as compared to oxygen ions since the metal atoms are smaller than the oxygen ion, and the oxygen-ion packing density creates distinctive channels for the motion of focused chains of metal ions. As a result metal ions leave the explosion zone for a distance of the order of 10^3-10^4 nm and the explosion zone has a large number of vacancies.

The subthreshold mechanism of defect formation under discussion can be characterized as a shock mechanism, since the time τ_i for which the electron excitation acts and the time τ_d of atomic displacement from a site into an interstice are shorter than the period T* of effective oscillations.

The mechanism of radiation-induced defect formation in powdered moldings is the same for sintering and solid-phase reactions, but the mechanism of radiation-stimulated diffusion of the two processes is different since in the first case it is determined by the diffusion of the vacancies formed in the cluster and in the second case, by the diffusion of metal cations. Pores and the region of contact of powder grains are the principal sinks for radiation defects in the first case and the surface of the powder grains in the second case.

We should point out a distinctive feature of the mechanisms of electron-thermal sintering and electron-thermal homogenization, which is characterized by the nonequilibrium of the system of powdered material. The surface of the powder grains is a region with an enormous number of sinks for various kinds of defects, which is perfectly indispensible for the processes of radiation defect formation to occur and for diffusion to be accelerated.

If the irradiated material contains sinks for defects, then ions ejected from a cluster by an explosion are absorbed by those sinks, leaving vacancies in the cluster. If sinks are not present at all or are present in insignificant number, the medium surrounding the cluster

TABLE 3

Oxide	<i>Т</i> , К	D^*/p^2 , cm ² /sec	D ^{exp} , p cm ² /sec	D _T , cm ² /sec
Fe ₂ O ₃ MnO NiO	1300 1300 1400	$ \begin{array}{r} 1 \cdot 10^{-8} \\ 5,8 \cdot 10^{-9} \\ 7,8 \cdot 10^{-11} \end{array} $	$5 \cdot 10^{-9} \\ 5 \cdot 10^{-9} \\ 2 \cdot 10^{-9}$	2·10 ⁻¹⁴ 6,7·10 ⁻¹³ 6,8·10 ⁻¹¹

has a strong epitaxial effect on the orientation of the crystallizing region and the initial crystal structure is restored almost completely (irradiation of a powder mixture of oxides and the thermally sintered mixture characterize the given process [1]). The formation of defects, both as vacancies in the cluster and as interstitial atoms far from the cluster, thus is characterized by a parameter δ and is determined by the defect structure of the entire crystalline material.

Since the initial metal-oxide powder mixture is a highly nonequilibrium system, i.e., the surface of the powder grains is a region with an enormous number of sinks, the ions ejected by an explosion move toward the surface of the powder grains as a result of focused displacements or channeling, thus producing an excess cation concentration, which creates conditions for solid-state reactions to occur. An excess vacancy concentration arises inside powder grains, thus facilitating sintering of the powder moldings.

The initial stage of the solid-state reactions and the sintering is characterized by the highest degree of nonequilibrium of the powder systems and the parameter δ is maximum [determined by Eq. (2)]. As the sintering proceeds the nonequilibrium of the power system decreased greatly, causing δ to decrease gradually because part of the defects formed do not find sinks and return to the cluster.

An estimate of δ as well as the parameter p, characterizing the increase in the length λ of the elementary jump as a result of the mechanism of focused displacements and channeling of metal ions, can be made by comparing the experimental and theoretical diffusion coefficients for metal oxides (Table 3). The diffusion coefficient D_p^{exp} pertains to steady-state RSD since the powder molding has already reached the sintering state (irradiation time 5-10 min), i.e., the conditions of nonequilibrium of the powder molding decrease substantially.

The quantity D^*/p^2 characterizes acceleration of the radiation-stimulated diffusion and is determined from

$$D = (p\lambda)^2 v_i \sigma_K \Phi \delta t, \ D^* = D/t,$$

where D is the RSD coefficient; v_i is the frequency of the elementary jump; and t is the time of irradiation with an electron beam, sec.

For iron, zinc, and nickel oxides $p = (8-17) \cdot 10^{-9}$ m and $\delta = 0.016$, 0.12, and 0.4, respectively; which is much less than the values given in Table 1 for the initial stage of RSD.

The estimates and analysis made of the subthreshold mechanism of defect formation, which occurs in powder oxide systems under irradiation with a beam of relativistic electrons, make it possible to explain the experimental data on sintering and homogenization of powder oxide materials.

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CHANGE IN THE SURFACE TENSION COEFFICIENT OF METALS IN AN ELECTRIC FIELD

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Because of the development of electrohydrodynamic ion sources the question of change in surface tension coefficient of liquid metals in a strong electric field has taken on practical importance. The present work is an attempt to evaluate this effect. It is shown that in limitingly strong fields the change in γ does not exceed 10-20%.

The question of the change in surface tension coefficient of a liquid metal in a strong electric field is of practical importance in studies of electrohydrodynamic (EHD) ion sources [1, 2]. In such sources field evaporation of ions occurs from the curved surface of a liquid metal located in an electric field of intensity $E_0 \sim 10^8$ V/cm. Surface equilibrium is insured by equality of the capillary and electrical pressures:

$$E_0^2/8\pi = 2\gamma/r.$$
 (1)

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Knowing E_0 and the surface tension coefficient γ , Eq. (1) can be used to calculate the radius of curvature of the emission zone r [3], which is an extremely important characteristic determining the parameters of the EHD-emitter.

However it cannot be excluded that in an electric field the value of γ decreases. In the fields usually achieved such changes are obviously small, and the authors have found no study which considers this question. However EHD-emitters produce the highest field intensity which can be applied to a surface (at higher intensities ion emission increases intensely so that the ionic space charge screens the field), so that the change in the surface tension coefficient can in principle be significant.

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